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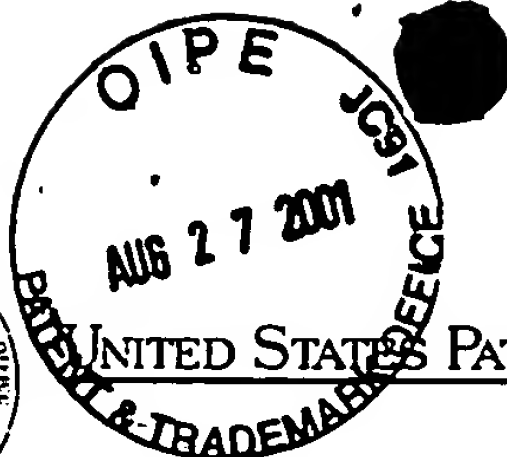
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/870,407	05/30/2001	Douglas J. LaCount	875.030US1

21186

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

CONFIRMATION NO. 7075

FORMALITIES LETTER



\*OC000000006217611\*

Date Mailed: 06/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$552.
 

01 FC:201	355.00 CH
02 FC:205	65.00 CH
03 FC:202	120.00 CH
04 FC:203	432.00 CH

  - \$432 for 48 total claims over 20.
  - \$120 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 972.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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For questions regarding compliance to these requirements, please contact:



- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

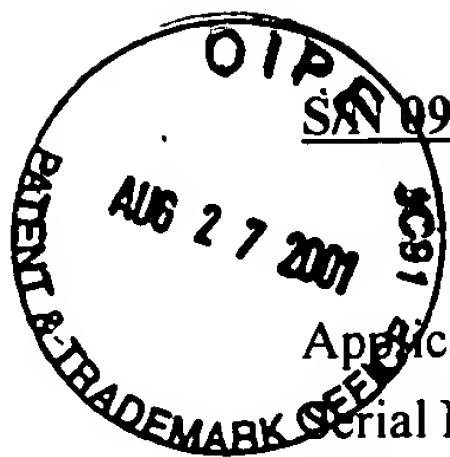
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SAN 09/870,407

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Douglas J. LaCount et al.

Examiner: Unknown

Serial No.: 09/870,407

Group Art Unit: Unknown

Filed: May 30, 2001

Docket: 875.030US1

Title: METHOD OF RAPIDLY GENERATING DOUBLE-STRANDED RNA AND  
METHODS OF USE THEREOF

COMMUNICATION RE: CORRECTED APPLICATION PAPERS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Corrected Application Papers" (see enclosed copy). Enclosed is a Sequence Listing, and a diskette for the Sequence Listing for the above-identified application.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6961.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

DOUGLAS J. LACOUNT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6961

Date 8-22-01

By [Signature]

Robert J. Harris  
Reg. No. 37,346  
RJH:CMG:lat

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
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Commissioner of Patents, Washington, D.C. 20231,  
on Aug. 22, 2001  
Date of Deposit

LISA THORIN  
Lisa Thorin

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S/N 09/870,407

AUG 27 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Douglas J. LaCount et al. Examiner: Unknown  
Serial No.: 09/870,407 Group Art Unit: Unknown  
Filed: May 30, 2001 Docket: 875.030US1  
Title: METHOD OF RAPIDLY GENERATING DOUBLE-STRANDED RNA AND  
METHODS OF USE THEREOF

COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$907.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6961.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
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Commissioner of Patents, Washington, D.C. 20231,  
on Aug. 22, 2001  
Date of Deposit

LISA THORIN  
Lisa Thorin

Date 8-22-01

Respectfully submitted,

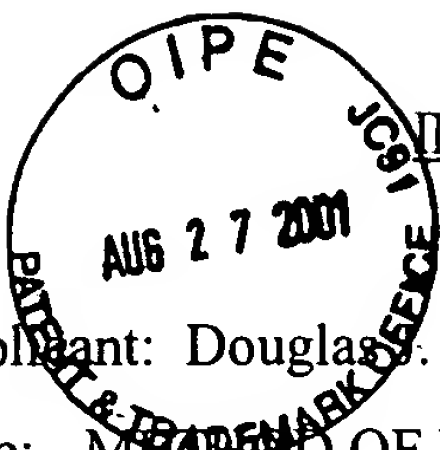
DOUGLAS J. LACOUNT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6961

By [Signature]  
Robert J. Harris  
Reg. No. 37,346  
RJH:CMG:lat

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR

Applicant: Douglas S. LaCount et al.

Title: METHOD OF RAPIDLY GENERATING DOUBLE-STRANDED RNA AND METHODS OF USE  
THEREOF

Docket No.: 875.030US1

Filed: May 30, 2001

Examiner: Unknown

Serial No.: 09/870,407

Due Date: August 22, 2001

Group Art Unit: Unknown

Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231


We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$907.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Combined Declaration and Power of Attorney ( 3 pgs.).
- ☒ Notice to File Missing Parts (2 pgs.)
- ☒ Communication Re: Corrected Application Papers (1 pg.)
- ☒ Sequence Listing (1 pg.).
- ☒ One diskette for Sequence Listing
- ☒ Preliminary Amendment with attachment (3 pgs.)

**Applicant claims small entity status under 37 C.F.R. 1.27.**

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By:   
Name: Robert J. Harris  
Reg. No. 37,346  
RJH:CMG:lat

The PTO did not receive the following  
listed item(s) 65 and 907

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 22<sup>nd</sup> day of August, 2001.

LISA THORIN  
Name

Lisa Thorin  
Signature

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